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5/25/03
PC

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3698D3

In Re Application Of: **John Hendricks**

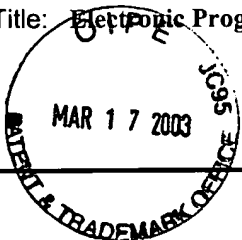
Serial No.
09/964,856

Filing Date
September 28, 2001

Examiner
N/A

Group Art Unit
2611

Title: **Electronic Program Guide with Targeted Advertising**



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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2600

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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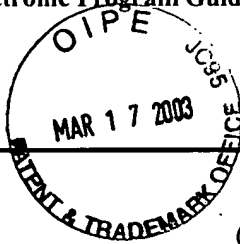
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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: **March 17, 2003**

Kelly Lee

Reg. No. 47,743

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Suite 400

Washington, D.C. 20004

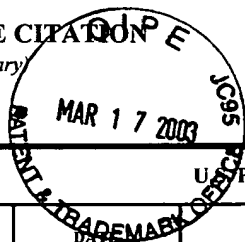
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

3698.D3

Application Number

09/964,856

Applicant(s)

John S. Hendricks

Filing Date

September 28, 2001

Group Art Unit

2611

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,635,989	6/3/1997	Rothmuller	725	46	
	2.	5,629,733	5/13/1997	Youman, et al.	725	53	
	3.	4,641,205	2/3/1987	Beyers, Jr.	386	83	
	4.	6,331,877	12/18/2001	Bennington, et al.	348	731	

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.